

Walmart Supply Chain Security

Factory General Information

Factory Id	36208191	Audit Firm Name	Bureau Veritas CPS
Factory Name	Suzhou Fuyougang Can Products Co., Ltd.	Audit Request no	18316601
Factory Address	Building 7, No. 28-9, Shuangma Road, Dianshanhu Town	Audit Date	Jul 17, 2023
Factory City	Kunshan City	Factory Country	China
Factory State	Jiangsu Province		

Business Overview

Type of Industry	Harline	Product Category	Tin Box
No: of workers	45		

Factory Physical Structure

No: of Buildings	1	No: of Production Buildings	1
No: of Warehouses	4	Other Buildings	0

Audit Information

Audit Type:	Follow Up	Name of the Auditor	Michael Ma
Opening Meeting Attendees & Titles	Ms. Zhou Guangmei/HR, Ms. Yu Song/Factory Director	Closing Meeting Attendees & Titles	Ms. Zhou Guangmei/HR, Ms. Yu Song/Factory Director
Today number of audit days	1	Audit Scope	Onsite

Audit Criteria

1. Security Vision & Responsibility	YES	7. Procedural Security	YES
2. Risk Assessment	YES	8. Agricultural Security	YES
3. Business Partners	YES	9. Physical Security	YES
4. Cybersecurity	YES	10. Physical Access Controls	YES
5. Conveyance and Instruments of International Traffic Security	YES	11. Personnel Security	YES
6. Seal Security	YES	12. Education, Training and Awareness	YES

Signatures

Factory Representative Name		Auditor Name	
Mr./Ms.	Zhou Guangmei	Mr./Ms.	Michael Ma

The listed finding(s) appended should only be considered a draft. The final report may or may not contain additional information and/or changes. Your signature on this document only represents that auditor from the respective 3rd Party Audit Firm representing Walmart has reviewed the findings with you, and that you understand them. Your signature does NOT mean that you agree with each finding. You may request your own comments be included in this document before applying your signature. Your signature also represents a commitment to achieve the proposed actions recommended by the auditor.

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Criticality	SI. NO & CRITERIA	CHECKLIST QUESTIONS	IN COMPLIANCE	FINDINGS / OBSERVATION
Low	18: Conveyance and Instruments of International Traffic Security	Is there a process in place for management to randomly conduct a second search of conveyances after the transportation staff have finished their initial search?	NO	<p>Finding: It was noted that no evidence was provided to show that there was a process in place for management to randomly conduct a second search of conveyances after the transportation staff had finished their initial search.</p> <p>Recommendation: It is recommended that management adopt practices and controls to ensure that a second search of conveyances should be done quarterly and documented.</p>
Low	42: Procedural Security	Does the facility have a process to ensure that international and domestic shipments are kept separate in the shipping area?	NO	<p>Finding: It was noted that the factory had established process to ensure that international and domestic cargo shipments are kept separate in the shipping area. However, the international and domestic cargo were not kept separately in the finished products warehouse.</p> <p>Recommendation: It is recommended that management adopt practices and controls to ensure that the factory should have a process to ensure that international and domestic shipments are kept separate in the shipping area.</p>
Medium	45: Physical Security	Do all cargo handling and storage facilities, including trailer yards and offices must have physical barriers and/or deterrents, including closed areas, that prevent unauthorized access?	NO	<p>Finding: It was noted that only simple tapes were used instead of fencing, dividing wall or natural features and etc. to impede access to loading area.</p> <p>Recommendation: It is recommended that management adopt practices and controls to ensure that all cargo handling and storage facilities, including trailer yards and offices must have physical barriers and/or deterrents, including closed areas, that prevent unauthorized access.</p>
Medium	49: Physical Security	Is adequate lighting provided inside and outside the facility including (as appropriate) the following areas: entrances and exits, cargo handling and storage areas, fence lines, and parking areas?	NO	<p>Finding Description: It was noted that no light was installed for the east and south walls in the factory.</p> <p>Recommendation: It is recommended that management adopt practices and controls to ensure that the factory is advised to ensure adequate lighting is provided along the fence lines.</p>
Low	52: Physical Security	Did the facility utilize licensed/certified resources when considering the design and installation of security technology?	NO	<p>Finding: It was noted that the factory did not maintain CCTV system supplier's license or certificate copy.</p> <p>Recommendation: It is recommended that management adopt practices and controls to ensure that the factory utilizes licensed/certified resources when considering the design and installation of security technology.</p>
Low	54: Physical Security	Are the security technology systems configured with an alternative power source that will allow the systems to continue to operate in the event of an unexpected loss of direct power?	NO	<p>Finding: It was noted that the security technology systems were not configured with an alternative power source that will allow the systems to continue to operate in the event of an unexpected loss of direct power.</p> <p>Recommendation: It is recommended that management adopt practices and controls to ensure that the factory is advised to the security technology systems are configured with an alternative power source that will allow the systems to continue to operate in the event of an unexpected loss of direct power.</p>

Photo Evidence

					
Area/Question number	Factory Name/Address/Overall			Area/Question number	Security Guard Room/Warning Signs/Patrol Tools
					
Area/Question number	Visitor Badges/CCTVs/Parking Area for Visitor/CCTV for Gate		Area/Question number	Authorized Employees and Signs for Loading Area/CCTV for Loading Area/ Lighting for Loading Area	
					
Area/Question number	Cleaning and Inspection Tools/Packing Workshop/Finished Product Warehouse/CCTV for Finished Product Warehouse		Area/Question number	Authorized Employees and Signs for Finished Product Warehouse/45. Only Simple Tape for Packing Area/49. No Lighting for the Wall	